Trip Report, April 2013 FIREX Marine Mammal Monitoring Jacksonville Range Complex

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List of Acronyms and Abbreviations

° degree(s)

BSS Beaufort Sea State

CO Commanding Officer

DDG guided missile destroyer
EDT Eastern Daylight Time

ESA Endangered Species Act

FIREX Firing Exercise

ft foot/feet

GPS global positioning system

IMPASS Integrated Maritime Portable Acoustic Scoring and Simulation System

JAX Jacksonville km kilometer(s)

kts knot(s) (nautical miles per hour)

MFAS mid-frequency active sonar

min minute(s)

MMO marine mammal observer

MMPA Marine Mammal Protection Act

nm nautical mile(s)

NMFS National Marine Fisheries Service

PMAP Protective Measures Assessment Protocol

TTS temporary threshold shift

U.S. United States

XO Executive Officer

yd yard(s)

SECTION 1: INTRODUCTION

In order to train with explosives, the United States (U.S.) Navy must obtain a permit from the National Marine Fisheries Service (NMFS) under the Marine Mammal Protection Act (MMPA) and Endangered Species Act (ESA). The Jacksonville (JAX) Range Complex Monitoring Plan (U.S. Department of the Navy 2009), finalized in June 2009, was developed with NMFS to comply with the requirements under the permits obtained for explosives training (National Marine Fisheries Service 2012).

The JAX Range Complex Monitoring Plan is one component of the overall effort the U.S. Navy is undertaking to understand its potential effects and the biological consequences of those effects to protected marine species. The JAX Range Complex Monitoring Plan has been designed as a collection of focused "studies" to gather data that will allow the U.S. Navy to address the following questions:

- 1. What are the behavioral responses of marine mammals and sea turtles that are exposed to explosives at specific levels?
- 2. Is the U.S. Navy's suite of mitigation measures for explosives (e.g., Protective Measures Assessment Protocol [PMAP], major exercise measures agreed to by the U.S. Navy through permitting) effective at avoiding temporary threshold shift (TTS), injury, and mortality of marine mammals and sea turtles?

In order to answer these questions, data are to be collected through various means, including contracted vessel and aerial surveys, passive acoustics, and placing marine mammal observers (MMOs) aboard U.S. Navy assets.

As part of this data collection effort, three U.S. Navy MMOs (Dr. Cara Hotchkin, Mr. Joel Bell, and Mr. Anurag Kumar) participated in a Firing Exercise (FIREX) with Integrated Maritime Portable Acoustic Scoring System (IMPASS) on 30 April 2013. These MMOs were stationed aboard a guided missile destroyer (DDG), the *USS CARNEY* (DDG 64). The primary goal of the FIREX monitoring effort was to collect data on marine mammals and sea turtles observed during operations and to answer the follow questions:

- 1. Are marine mammals and sea turtles exposed to explosives?
- 2. If so, at what levels?
- 3. Did exposed marine mammals/sea turtles show a behavioral response?

A secondary goal for the monitoring was to familiarize the MMOs with at-sea U.S. Navy operations and to gather information to facilitate future MMO opportunities. This secondary goal is captured as "lessons learned" in **Section 5.2**.

SECTION 2: FIREX WITH IMPASS DESCRIPTION

A FIREX involves surface ship crews that use large-caliber (main battery) guns to support forces ashore; however the land target is simulated at sea. Rounds are scored by passive acoustic hydrophones located at or near the target area. The portable scoring system is comprised of

sonobuoys (IMPASS buoys) set in a pre-designed pattern at specific intervals, which are retrieved after the exercise. An onboard scoring system provides realistic presentation, such as a land mass with topography, to the ship's combat system. This virtual land target area overlays the sonobuoy array. The ship fires its ordnance into the target area and the acoustic noise resulting from the impact of the round landing in the water is detected by the sonobuoys. The global positional system (GPS) position and bearing of the impact is transmitted to the ship and the onboard scoring system triangulates the exact point of impact of the round, allowing the exercises to be conducted as if the ship were firing at an actual land target. Inert ordnance was used in this FIREX with IMPASS event.

SECTION 3: METHODS

3.1. SHIPBOARD MARINE MAMMAL MONITORING

MMO surveys were conducted on a not-to-interfere basis, which means that the MMOs would not replace required U.S. Navy Lookouts; would not dictate operational requirements/maneuvers; and would remove themselves from the bridge wing if necessary for *USS CARNEY* to accomplish its mission objectives. The only exception would be if a marine mammal or sea turtle was sighted by the MMO within the mitigation zones during the event (within 600 yards [yd] of the target for explosive rounds, within 200 yd of the target for inert rounds, and within 70 yd of the ship hull) and was not sighted by the Lookout, the MMO would report the sighting to the lookout for appropriate reporting and action.

The MMO survey was conducted from the bridge wings of *USS CARNEY*, with one MMO on each wing. During on-effort surveys, the MMOs would use the naked eye and 7x50 binoculars to scan the area from dead ahead to just abaft of the beam. In searching this area, the MMOs would start at the forward part of the sector and search aft. Binoculars were held so that the horizon was in the top third of the field of view. The field of view was scanned from the horizon towards the ship. Once the field of view was scanned, the binoculars were repositioned and the field of view was scanned again (**Figure 1**). Once the scan with the binoculars was completed, the eyes were rested for a few seconds and the entire sector was scanned with the naked eye.

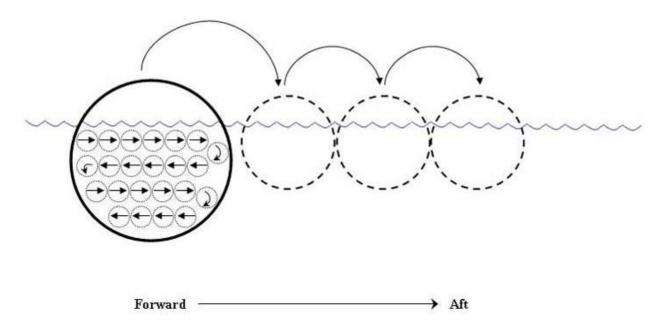


Figure 1. MMO Surface Searching rocedure

When an animal was visually detected the MMO would collect information on 23 sighting, environmental, and sonar parameters (**Table 1**). When practicable, still-photographs were obtained by the MMO.

Table 1. Shipboard MMO Data Category Descriptions

Data Category	Description			
	Sightings Information			
Effort (on/off)	On effort means actively searching for marine mammals; time spent off effort could			
	result from vacating the bridge wing for operational reasons.			
Date	Format in mm/dd/yy.			
Time	Time provided in Eastern Daylight Time (EDT).			
Location	This is the location of the vessel at the time of the sighting, provided by monitors on			
Location	the bridge.			
Detection sensor	Either visual or aural (if detected passively by the sonar technician) and which MMO			
	observed the animal.			
Species/group	Determined by the MMO.			
Group size Estimated by the MMO.				
# Calves	Estimated by the MMO.			
Bearing (true)	Estimated by the MMO.			
Distance (yd)	Estimated by the MMO using reticled binoculars.			
Length of contact	Estimated by the MMO.			
	Environmental Information			
Wave height (ft)	Estimated by the MMO.			
Visibility	Estimated by the MMO.			
Beaufort Sea State (BSS)	Estimated by the MMO.			
Swell direction (true)	Estimated by the MMO.			
Wind direction (true)	Estimated by the MMO.			
% glare	Estimated by the MMO.			
% cloud cover	Estimated by the MMO.			
	Operational Information			
Active sonar in use?	Specifically refers to mid-frequency active sonar (MFAS).			
Explosives in use?	This refers to whether an explosive event occurred within the monitoring rotation, not			
	necessarily whether an explosion occurred at the specific time of the sighting.			
Direction of ship travel	Provided by monitors on the bridge.			
Animal motion	Estimated by the MMO.			
	<u>Individual behaviors</u> : breach, porpoise, spin, bowride, feeding, head slap, social, tail			
	slap, pectoral fin slap, other.			
Behavior	Whale behaviors: blow, no blow rise, fluke up, peduncle arch, unidentified large			
	splash.			
	Group behaviors: rest, mill, travel, surface active travel, surface active mill.			
Mitigation implemented	If explosives in use, the measures implemented, if any, by the vessel.			
Comments	Other comments as necessary.			

3.2. SEQUENCE OF EVENTS

USS CARNEY departed Mayport, Florida on 29 April 2013 at approximately 1100 Eastern Daylight Time (EDT). A FIREX with IMPASS using the 5-inch Mark 45/54-caliber lightweight gun was conducted by the USS CARNEY on 30 April 2013, using inert rounds only. A total of 33 inert rounds were fired throughout the entire exercise, including calibration of the target. Immediately following the exercise, the ship returned the IMPASS team and MMOs to Mayport, Florida. A detailed sequence of events is provided in **Table 2**.

Table 2. Sequence of Events

	30 April 2013				
Time	Notes				
0551	Buoy deployment begins				
0630	Buoy deployment completed				
0652	MMOs on effort				
0719	MMOs off effort				
0727	MMOs on effort				
0839	First shots fired/FIREX begins				
0841	Mitigation implemented/firing delayed due to				
0041	unidentified dolphin sighting within 70 yd of vessel				
0859	Mitigation implemented/firing delayed further due to				
0037	unidentified hardshell sea turtle sighting				
0902	Firing resumes				
1058	Mitigation implemented/firing delayed due to spotted				
1030	dolphin sighting within 70 yd of vessel				
1111	Mitigation implemented/firing delayed due to spotted				
1111	dolphin sighting within 70 yd of vessel				
1146	Firing resumes				
1337	Last shot fired/FIREX ends				
1356	MMOs off effort				
1450	Buoy recovery begins				

SECTION 4: RESULTS

MMOs recorded environmental information when beginning effort, at each observer rotation, and when conditions notably changed. A majority of time observing was spent in a Beaufort Sea State 2, though sea states up to 4 were recorded. Six marine mammal sightings and one unidentified hardshell turtle sighting were recorded by the MMOs (**Table 3**). The sightings and IMPASS buoy field location are shown on **Figure 2**.

Table 3. Marine Species Sightings Data

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Sighting 1	Sighting 2	Sighting 3								
Data Category Sighting 1 Sighting 2 Sighting 3 Sightings Information										
On	On	On								
04/30/13	04/30/13	04/30/13								
8:25:08	08:41:09	08:56:25								
30.703542	30.689844	30.674567								
		-80.472686								
Visual - Cara	Visual - Anu	Visual - Cara								
Striped dolphin	Unidentified dolphin	Unidentified hardshell turtle								
10/15/10	15/20/15	1/1/1								
1	0	0								
?	265°	295°								
<100 yd	486 yd	<100 yd								
ND	10 min	< 1 min								
Environment	al Information									
ND	ND	ND								
Good (10-15 km)	Good (10-15 km)	Good (10-15 km)								
2	2	2								
ND	ND	ND								
ND	ND	ND								
ND	ND	ND								
ND	ND	ND								
Operational	Information									
No	No	No								
No	No	No								
185°	185°	185°								
Closing	Closing	Parallel								
Traveling	Traveling/Milling	Traveling								
No		Yes; firing delayed								
	Group came in from a	Sighted moving								
	distance and remained	towards stern.								
	near stern. Behavior	Mitigation								
Sighted closing on	transition from	implemented, firing								
bow. Calf present.	traveling to milling	was stopped. Firing								
Firing had not	near stern. Stopped	resumed after turtle								
commenced yet.		confirmed outside of								
	were confirmed	the 70-yd mitigation								
	outside of the 70-yd	zone.								
	mitigation zone.									
	Sighting 1 Sightings I On	Sighting 1 Sighting 2								

ND = no data available

Table 3. Marine Species Sightings Data (Continued)

Table 5. Warme Species Signtings Data (Continued)									
Data Category	Sighting 4	Sighting 5	Sighting 6	Sighting 7					
Sightings Information									
Effort (on/off)	On	On	On	On					
Date	04/30/13	04/30/13	04/30/13	04/30/13					
Time	10:35:20	10:58:03	11:11:02	13:10:10					
Location	30.731294	30.71402	30.703826	30.59309					
	-80.479111	-80.480211	-80.480524	-80.632914					
Detection sensor	Visual - Cara	Visual - Anu	Visual - Cara	Visual - Anu					
Species/group	Unidentified	Spotted dolphin	Spotted dolphin	Unidentified					
1 0 1	dolphin			dolphin					
Group size	>20	10/10/10	10/10/10	4/4/4					
(best/max/min)	>20	10/10/10	10/10/10	4/4/4					
# calves	ND	ND	1+	ND					
Bearing (true)	267°	ND	ND	10°					
Distance (yds)	547 yd	<100 yd	<100 yd.	1,094 yd					
Length of contact	< 1 min	ND	ND	2 min					
	Envi	ronmental Informatio	n						
Wave height (ft)	ND	ND	ND	ND					
Visibility	Good (10-15 km)	Good (10-15 km)	Good (10-15 km)	Good (10-15 km)					
Beaufort Sea State	2-3	2-3	2-3	4					
(BSS)	2-3	2-3	2-3	4					
Swell direction (true)	ND	ND	ND	ND					
Wind direction (true)	ND	ND	ND	ND					
% glare	ND	ND	ND	ND					
% cloud cover	ND	ND	ND	ND					
		erational Information							
Active sonar in use?	No	No	No	No					
Explosives in use?	No	No	No	No					
Direction of ship travel	180°	180°	180°	290°					
Animal motion	Parallel	Parallel	Parallel	Opening					
Behavior	Traveling	Rest/Mill/Bow	Resting/Milling	Traveling					
Mitigation implemented	No	Yes; firing delayed	Yes; firing delayed	No					
	Large group	Resting/milling at	Probably same	Large delphinid,					
	moving to stern.	bow for a long	group as previous	lighter color.					
	Outside of 70-yd	time. Within 70-yd	sighting.	Relative bearing					
	mitigation zone.	mitigation zone;	Resting/milling. At	heading away from					
Comments	<i>5</i>	mitigation	least one calf.	ship's bow.					
		implemented.	Within 70-yd	Outside of 70-yd					
		•	mitigation zone;	mitigation zone.					
			mitigation						
			implemented.						

ND = no data available

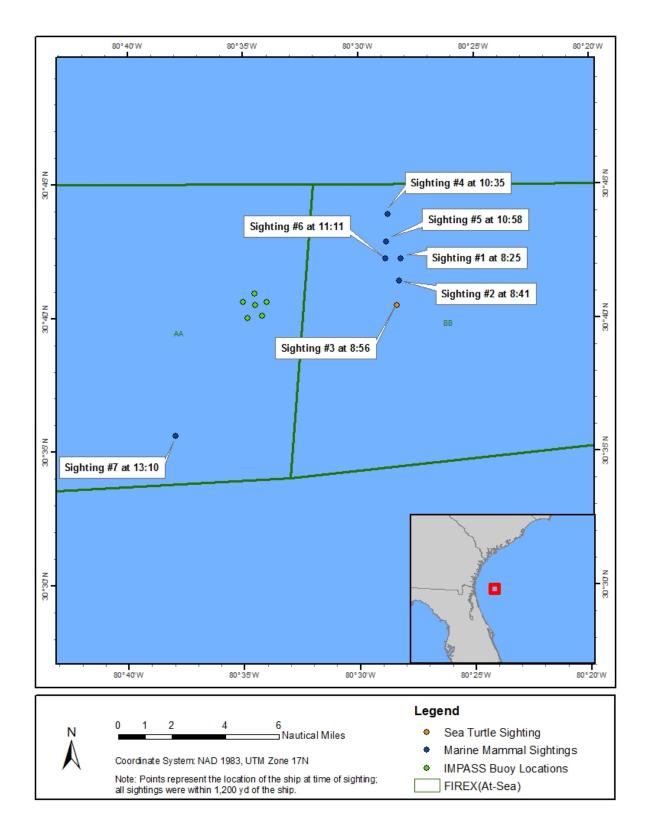


Figure 2. IMPASS Buoy Field Location and Position of the Ship During Each Sighting

SECTION 5: CONCLUSION

5.1. MARINE MAMMAL MONITORING

The goal of the FIREX with IMPASS monitoring effort is provided below, with a conclusion regarding each of the specific questions that were asked:

1. Are marine mammals and sea turtles exposed to explosives?

Because inert ordnance was used in this FIREX with IMPASS event, there was no potential for exposure of marine mammals and sea turtles to explosives. A 200-yd mitigation zone was implemented around the target to avoid direct strike of an animal; however, no animals were sighted within the target area and associated 200-yd mitigation zone.

Three marine mammal sightings and one sea turtle sighting, obtained by *USS CARNEY* MMOs, occurred during the FIREX within the 70-yd mitigation zone around the vessel.

2. If so, at what levels?

The dolphins and sea turtle sighted within the 70-yd mitigation zone around the vessel may have been exposed to weapons firing noise.

3. Did exposed marine mammals/sea turtles show a behavioral response?

Mitigation was implemented (firing was delayed) as soon as each of the sightings within the mitigation zone was reported. Sighting #2 included a group of unidentified dolphins that were traveling and closing in on the ship and then transitioned to a milling behavior for a few minutes before they were seen off the stern of the ship outside of the mitigation zone. Sighting #3 included a single, large unidentified hardshell turtle swimming below the surface towards the stern, which was outside of the mitigation zone within a minute or so. Sighting #5 included a group of spotted dolphins that were resting and milling near the bow of the vessel. Sighting #6 also included a group of spotted dolphins that were resting and milling near the bow of the vessel approximately 13 minutes later, and was probably the same group. The vessel sped up in order to get to a new area and away from the group of animals. No atypical behavior or change in behavior was observed. In each instance, firing did not recommence until the animals were confirmed to be outside of the mitigation zone.

5.2. LESSONS LEARNED

A few lessons learned were noted for the FIREX with IMPASS event, and are separated into those for shipboard monitoring and operational information below.

5.2.1. Shipboard Marine Mammal Monitoring

• Methods are needed to continue to improve the close aboard distance estimation by MMOs. Reticled binoculars are used for longer distance sightings; this method is not useful for close aboard sightings. Suggest that MMOs practice close-in distance estimation using known reference points.

5.2.2. Operational Information

- MMOs attended the pre-exercise brief and informally discussed the purpose of their monitoring. MMOs explained the reason for the mitigation zones and the potential acoustic effects. This information was received well by the Commanding Officer (CO) and Executive Officer (XO). It is recommended that in the future a formal brief is given that is pre-approved by USFF.
- Coordination for this event went fairly smoothly, and we were able to work out getting on the ship for the necessary time to complete the monitoring associated with the event. We need to continue to improve pre-planning coordination between operators and MMOs to ensure that monitoring opportunities and data gathering are maximized.

SECTION 6: ACKNOWLEDGEMENTS

We thank the officers and crew of *USS CARNEY* (DDG 64) for their outstanding support and hospitality during this cruise and Mr. Dennis Emhoff (RCST) for pre-planning coordination.

SECTION 7: REFERENCES

- National Marine Fisheries Service. 2012. Letter of Authorization, Taking Marine Mammals Incidental to U.S. Navy Training Activities Conducted in the Jacksonville Range Complex, valid June 5, 2012 to June 4, 2013. National Marine Fisheries Service, Silver Spring, MD.
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