

# Distribution and Abundance Estimates for Cetaceans in the Waters off Guam and the Commonwealth of the Northern Mariana Islands<sup>1</sup>

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**Abstract:** Cetacean distribution and abundance are reported from the first systematic line-transect visual survey in the waters of Guam and the Commonwealth of the Northern Mariana Islands (CNMI). The survey was conducted during January–April 2007 following standard line-transect protocols. Trackline coverage (11,033 km) was dominated by high sea states (88.2%); however, 13 cetacean species were recorded. The sperm whale (*Physeter macrocephalus*) was the most frequently encountered whale, followed by Bryde's and sei whales (*Balaenoptera edeni* and *B. borealis*, respectively). Occurrence of the sei whale is unique, because the species had not been confirmed to occur south of 20° N. The pantropical spotted dolphin (*Stenella attenuata*) was the most frequently sighted delphinid, followed by the striped dolphin (*Stenella coeruleoalba*) and false killer whale (*Pseudorca crassidens*). Humpback whales (*Megaptera novaeangliae*) were acoustically detected and later seen off Saipan. Numerous cetacean sightings were associated with steep bathymetric features including the West Mariana Ridge, the Mariana Ridge, and the Mariana Trench. Abundance estimates were based on 80 on-effort sightings for 12 species. Species were pooled into three separate groups for estimating detection probabilities: *Balaenoptera* spp., black-fish (medium-size odontocetes), and small dolphins. A separate detection function was generated for the sperm whale. Precision of abundance estimates are very low for all species due to low sighting rates and high sea states; however, these abundance estimates serve as the best scientific data available for the area and establish vital baseline information for future research efforts.

MARINE MAMMAL SPECIES richness and distribution in the waters around Guam and the Commonwealth of the Northern Mariana

Islands (CNMI) are not well known. Most accounts of marine mammal occurrence within the region are opportunistically reported sighting and stranding data (reviewed in Department of the Navy [2005]). In 1949, Japanese agencies involved in the management of whaling began a research program of marking (tagging) in the North Pacific Ocean (Omura and Kawakami 1956, Ohsumi and Masaki 1975). Many thousands of whales from several regions of the western Pacific were marked by shooting metal tags into the animals with the hope of recovering those tags once the animals were captured and flensed. Data from those tags provided information on the movement of the animals (Omura and Kawakami 1956, Masaki 1972, Miyazaki and Wada 1978, Shimada and Miyashita 2001, Ohizumi et al. 2002, Shimada et al. 2008). Eldredge (1988) provided the first compilation of published and unpublished records for marine mammals occurring in Micronesia. Information on 18

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species of marine mammals was documented in that report and made publicly available as a peer-reviewed publication by Eldredge (1991). Kami and Lujan (1976), Kami and Hosmer (1982), Donaldson (1983), Trianni and Kessler (2002), Eldredge (2003), Wiles (2005), and Jefferson et al. (2006) provided additional published records for this region.

The aforementioned reports have contributed to the information of marine mammals in Guam and CNMI, but the data are still sparse. The lack of valid scientific data on cetacean species richness and distribution for the Mariana archipelago is problematic for the management of the resources covered under the Marine Mammal Protection Act (MMPA), Endangered Species Act (ESA), and National Environmental Policy Act (NEPA). The Marine Resources Assessment (MRA) for the Mariana Islands Operating Area (Department of the Navy 2005) presented 32 marine mammal species that were considered to potentially frequent these waters, based largely on scientific inference using occurrence records (i.e., sightings, strandings), known habitat preferences, and distribution of Pacific marine mammals (Table 1). Data shortfalls demonstrated in the MRA led the Navy to seek to fill the data gaps and improve those data used for environmental planning purposes.

We present the results of the first systematic line-transect visual survey in the waters of Guam and CNMI: Mariana Islands Sea Turtle and Cetacean Survey (MISTCS). This systematic shipboard survey was designed to assist the Navy in preparing environmental compliance documents by: (1) collecting data on the species richness and distribution of cetaceans and sea turtles in waters around Guam and CNMI; and (2) generating density estimates for those species having adequate data in the region of interest to the Navy.

The bathymetry of the region is exemplified by its steeply sloping bottom with depths dropping rapidly near the islands in the archipelago. Two volcanic arcs, the West Mariana Ridge (a remnant volcanic arc that runs from approximately 21° N, 142° E to 11° 30' N, 141° E) and the Mariana Ridge (an active volcanic arc), are separated by the Mariana Trough. The Mariana archipelago is located

on the Mariana Ridge. The Mariana archipelago comprises 15 volcanic islands including Guam, Rota, Tinian, and Saipan. The Mariana Trench is over 2,270 km long and 114 km wide, with the deepest point in the trench (and on the planet), Challenger Deep, being found 544 km southwest of Guam in the southwestern extremity of the trench. The Mariana Ridge is located to the west of the trench (although east of the Challenger Deep) and is surrounded by numerous seamounts. To the southeast of the Mariana Trench is the Caroline Ridge, which extends in an ESE direction (Figure 1).

The general oceanic circulation within the study area and the Mariana Islands is poorly understood due to the paucity of large-scale oceanographic research conducted there (Sandwell et al. 2003). Surface currents within the study area are heavily influenced by the North Pacific Equatorial Current (NPEC) and the North Equatorial Current (NEC), which is driven by trade winds (Pickard and Emery 1982, Wolanski et al. 2003). The result is a net transport west/northwest (Uda 1970, Wolanski et al. 2003). The passage of the NEC through the Mariana archipelago can create regions of enhanced turbulence, capable of entraining phytoplankton and creating localized regions of enhanced primary production visible as increased concentrations of chlorophyll *a* in the surface waters (Gilmartin and Revelante 1974, Simpson et al. 1982, Wolanski et al. 2003). The MISTCS study area lies within an oceanic province with generally very low levels of primary productivity due to low-latitude nutrients being transported to North Pacific waters (see Sarmiento et al. 2004). Therefore, any areas with increased primary productivity can attract all components of the local food chain and thereby create "hot spots" of resources and concentrations of predators (see Palacios et al. 2006). Strong interactions between the local oceanography and topography exist in the study area. In general, seamount topography is correlated with enhanced production due to the formation of vortices capable of mixing nutrients to the surface and entraining phytoplankton in the overlying waters (Genin and Dower 2007).

TABLE 1

Marine Mammal Species of Guam and CNMI from Literature Compilation (Department of the Navy 2005)

Taxon	Scientific Name	Occurrence <sup>a</sup>
Order Cetacea		
Suborder Mysticeti		
Family Balaenidae		
North Pacific right whale	<i>Eubalaena japonica</i> <sup>b</sup>	Rare
Family Balaenopteridae		
Humpback whale	<i>Megaptera novaeangliae</i> <sup>b</sup>	Regular
Minke whale	<i>Balaenoptera acutorostrata</i>	Rare
Sei whale	<i>Balaenoptera borealis</i> <sup>b</sup>	Extralimital
Fin whale	<i>Balaenoptera physalus</i> <sup>b</sup>	Rare
Blue whale	<i>Balaenoptera musculus</i> <sup>b</sup>	Rare
Bryde's whale	<i>Balaenoptera edeni/brydei</i> <sup>c</sup>	Regular
Suborder Odontoceti		
Family Physeteridae		
Sperm whale	<i>Physeter macrocephalus</i> <sup>b</sup>	Regular
Family Kogiidae		
Pygmy sperm whale	<i>Kogia breviceps</i>	Regular
Dwarf sperm whale	<i>Kogia sima</i>	Regular
Family Ziphiidae		
Cuvier's beaked whale	<i>Ziphius cavirostris</i>	Regular
Blainville's beaked whale	<i>Mesoplodon densirostris</i>	Regular
Ginkgo-toothed beaked whale	<i>Mesoplodon ginkgodens</i>	Rare
Hubbs' beaked whale	<i>Mesoplodon carlhubbsi</i>	Extralimital
Longman's beaked whale	<i>Indopacetus pacificus</i>	Regular
Family Delphinidae		
Rough-toothed dolphin	<i>Steno bredanensis</i>	Regular
Bottlenose dolphin	<i>Tursiops truncatus</i>	Regular
Indo-Pacific bottlenose dolphin	<i>Tursiops aduncus</i>	Extralimital
Pantropical spotted dolphin	<i>Stenella attenuata</i>	Regular
Spinner dolphin	<i>Stenella longirostris</i>	Regular
Striped dolphin	<i>Stenella coeruleoalba</i>	Regular
Short-beaked common dolphin	<i>Delphinus delphis</i>	Rare
Risso's dolphin	<i>Grampus griseus</i>	Regular
Melon-headed whale	<i>Peponocephala electra</i>	Regular
Fraser's dolphin	<i>Lagenodelphis bosei</i>	Regular
Pygmy killer whale	<i>Feresa attenuata</i>	Regular
False killer whale	<i>Pseudorca crassidens</i>	Regular
Killer whale	<i>Orcinus orca</i>	Regular
Short-finned pilot whale	<i>Globicephala macrorhynchus</i>	Regular
Order Carnivora		
Suborder Pinnipedia		
Family Phocidae		
Hawaiian monk seal	<i>Monachus schauinslandi</i> <sup>‡</sup>	Extralimital
Northern elephant seal	<i>Mirounga angustirostris</i> <sup>‡</sup>	Extralimital
Order Sirenia		
Family Dugongidae		
Dugong	<i>Dugong dugon</i>	Extralimital

<sup>a</sup> Regular, a species that occurs as a regular or usual part of the fauna of the area, regardless of how abundant or common it is; Rare, a species that occurs in the area only sporadically; Extralimital, a species that does not usually occur in the area but for which there are one or more records that are considered beyond the usual range of the species.

<sup>b</sup> Endangered.

<sup>c</sup> Includes more than one species, but nomenclature is still unsettled.

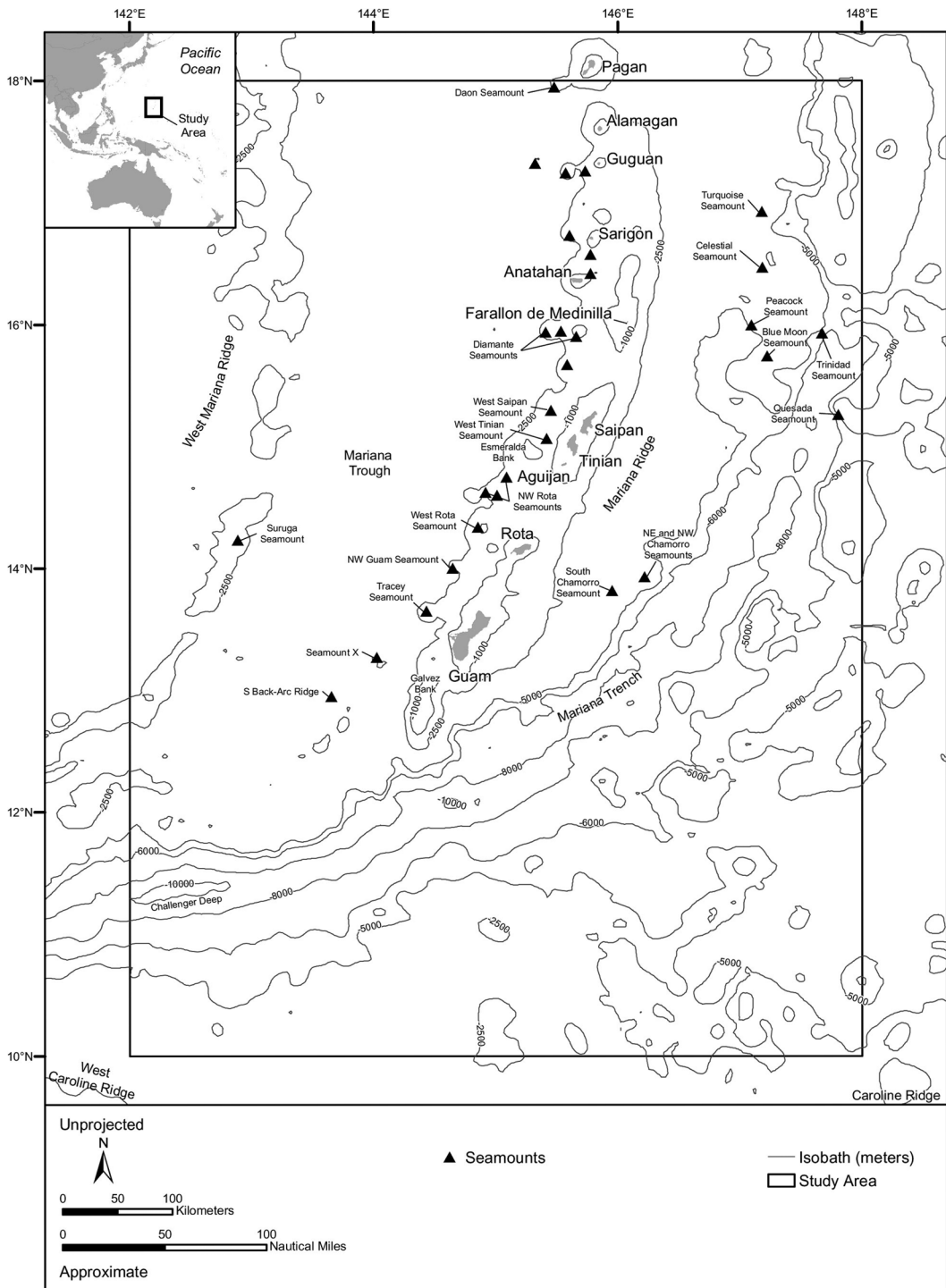


FIGURE 1. Bathymetry and key oceanographic features of the MISTCS study area located in Guam and CNMI waters.

## MATERIALS AND METHODS

*Study Area*

The MISTCS study area is a ~310,300 km<sup>2</sup> rectangle bounded by 18°–10° N and 142°–148° E (Figure 1). This region is characterized by an archipelago where the dominant oceanographic features are the Mariana Trench, Mariana Trough, ridges, seamounts, hydrothermal vents, and submarine volcanoes.

*Field Techniques*

Visual line-transect survey methods (Buckland *et al.* 2001, 2004) were used from the 56.4 m M/V *Kabana* and were fundamentally identical to those used during Southwest Fisheries Science Center (SWFSC of the National Marine Fisheries Service [NMFS]) surveys. This survey was conducted from 16 January to 13 April 2007 (boreal winter) and divided into four legs (~21 days per leg); all port calls were at Apra Harbor, Guam. Survey tracklines were oriented to maximize visual effort depending on wind, waves, and swell and decrease traveling broadside to and straight into dominant swells. Trackline effort was not stratified, because there was little information on the region to base stratification of effort. The dominant winds were consistently ENE. Start location within the area of operation (north or south) was determined by a flip of a coin at the beginning of the survey and alternated each leg. Tracklines were sometimes modified, depending on the effect of the vessel's ride (pitch, yaw, and roll) to improve observer stability on the flying bridge. However, deviation did not exceed 9.3 km from the original course before reorienting the vessel's direction. Survey speed was usually 16.7–18.5 km/hr, but varied with sea conditions.

Visual survey effort was conducted from the flying bridge (with observers' eye height 10.5 m above the water's surface), beginning at sunrise and continuing until sunset each day, weather-permitting (i.e., no rain, Beaufort sea state <7). The daily watch consisted of six observers who were experienced in NMFS survey techniques and Pacific species identification. Observers rotated through a port-side

25× binocular station, a data recorder position, and a starboard-side 25× binocular station with 40 min per station. The left- and right-side observers searched to the horizon in an arc from ~10° right and left of the ship's bow to the left and right beams (90°), respectively, using 25× pedestal-mounted binoculars. The third observer searched the forward 180° using unaided eye or 7× hand-held binoculars and recorded data. Each observer worked a 2-hr shift followed by a 2-hr rest period. Requests for alterations to the ship's course or speed were conveyed to the bridge by the visual observer team. If Beaufort sea state was too high, observers switched to hand-held binoculars, naked-eye effort, or in worst-case scenarios, one person rotated as a bridge watch. Data collected in this manner were not used to estimate abundance, only distribution.

Data were recorded on a computer interfaced with an independent global positioning system (GPS) via a custom data acquisition program (WinCruz NMFS/SWFSC). For each sighting, the time, position, bearing and reticle (a measure of radial distance) of the sighting, species, and associated animals (e.g., seabirds, fish) were recorded. For groups sighted without 25× binoculars and close to the ship, the bearing and radial distance were estimated. Survey effort data were automatically recorded every 10 min and for every sighting. Data recorded included the ship's position, effort status, observer positions, and environmental conditions, which could affect the observers' ability to sight animals (e.g., high sea state, glare, sun position). Typically, if a sighting was within a 5.5 km strip on either side of the ship, the ship was diverted from the trackline to approach the group to identify species and estimate group size. Cetaceans were identified to the lowest taxonomic level possible. Observers' ability to make identifications depended on weather and animal behavior. Independent group size estimates (best, high, and low) were made by each observer and recorded for later entry into the database. The ship was directed to make course and speed changes as deemed appropriate to maximize the viewing and photography of the groups. Once a group size estimate,



species identification, and photography were completed, the ship was directed to return to course and speed.

In addition to visual survey operations, a two-element hydrophone array was towed from the *M/V Kabana* during daylight hours to detect acoustic signals from vocalizing cetaceans (Norris et al. 2007). The acoustics team worked independent of the visual team. If an acoustic detection was not acquired visually, the visual team was notified once the detection passed the beam. On several occasions, the ship was diverted to acquire the acoustic detection. Most diversions were for sperm or minke whales (*Physeter macrocephalus* and *Balaenoptera acutorostrata*, respectively). Animals acoustically detected but missed by the visual team were not included in distribution or abundance estimation analyses for this paper and no acoustic “cues” were used to enhance visual sightings.

#### Analytical Techniques

For each species, genus, or unidentified category ( $i$ ), abundance ( $N_i$ ) was estimated with line-transect methods using the program DISTANCE (Thomas et al. 2006) by:

$$N_i = \frac{An_i S_i f_i(0)}{2L_i g(0)} \quad (1)$$

where  $A$  = area of the study area,  $n_i$  = number of group sightings of species  $i$ ,  $S_i$  = mean group size of species  $i$ ,  $f_i(0)$  = sighting probability density function at perpendicular distance zero for species  $i$ ,  $L$  = total length of transect line, and  $g(0)$  = probability of seeing a group on the transect line.

The parameter  $g(0)$  was not estimated on this survey, and  $g(0) = 1$  was assumed (see section on Abundance in Discussion). The log-normal 95% confidence interval (CI) was computed for each abundance/density estimate (Buckland et al. 2001). The variance of  $N_i$  was estimated as:

$$\begin{aligned} \text{var}(N_i) \\ = N_i^2 \cdot \left\{ \frac{\text{var}(n_i)}{n_i^2} + \frac{\text{var}(S_i)}{S_i^2} + \frac{\text{var}[\hat{f}_i(0)]}{[\hat{f}_i(0)]^2} \right\}. \end{aligned} \quad (2)$$

The sampling unit was the length of transect completed on-effort each day with Beaufort sea state  $\leq 6$ . The formula used to estimate each component of the variance followed Buckland et al. (2001, 2004). A transect-length-weighted  $\text{var}(n_i)$  was based on the variation in the number of on-effort group sightings between sampling units that ranged up to 239 km/day. Coefficients of variation (CV) were estimated as  $\text{CV}(N_i) = [\text{var}(N_i)]^{1/2}/N_i$  and  $\text{CV}(N_i)$  as:

$$\text{CV}(N_i) = \left\{ \sum \text{CV}(N_i)^2 \right\}^{1/2} / \sum N_i. \quad (3)$$

The perpendicular distance,  $y$ , for each sighting was estimated using bearing and reticle measurements. The reticle readings were converted to radial sighting distances ( $R$ ) by the method of Lerczak and Hobbs (1998), using the formula  $y = R \sin(b)$ , where  $b$  = angle between the sighting and the transect line. Estimates of  $f(0)$  were made using a half-normal model with exact perpendicular sighting distances because hazard-rate models are known to give highly variable estimates (Gerrodette and Forcada 2005). Model selection was determined using Akaike's Information Criterion (Akaike 1973, Buckland et al. 2001, 2004). No covariates were used in the modeling of abundance. Although Beaufort sea state and group size would have been appropriate covariates, the low number of sightings resulted in reduced sample size thereby further decreasing the robustness of the analysis.

The group sizes for some species tended to be related to  $y$ , because in many cases larger groups are easier to see than small groups with increasing  $y$ . In general, the arithmetic mean of group size may be an overestimate of the true mean group size and could lead to positively biased density and abundance estimates (Buckland et al. 2001). Therefore, a regression of the log(group size) by  $g(y)$  (variable distance) was used to estimate an “expected mean group size” (program DISTANCE). The regression-based value of expected group size was used in the abundance estimate if the slope of the relationship was significant ( $P < .15$ , one-tailed); otherwise the

observed mean group size was used.  $\text{Var}(S_i)$  was the analytical variance for mean group sizes based on arithmetic means or was estimated as in Buckland et al. (2001, 2004) for expected mean group sizes.

### Species/Species Groupings

The number of groups sighted for most species was insufficient to obtain species-specific estimates of  $f(0)$ . Therefore, sightings of species with similar sighting characteristics (i.e., body size, group size, surface behavior, blow visibility) were pooled to estimate  $f(0)$  (Barlow 1995, Mullin and Fulling 2004). The abundance for each species was estimated using the pooled  $f(0)$  and  $\text{var}[f(0)]$  for its category (Thomas et al. 2006). This was done for three categories: *Balaenoptera* spp. ( $n = 3$ ), blackfish (medium-size odontocetes;  $n = 4$ ), and small dolphins ( $n = 7$ ). This was done because there were insufficient numbers of sightings for all other species to model the detection function (<20 sightings) independently. Sperm whales were not pooled with any other whale species due to their propensity for long dive intervals (Barlow 1999, Barlow and Taylor 2005), general behavioral patterns, and large body size. *Balaenoptera* spp., which includes the sei and Bryde's whales, were combined because their physical similarity made identification extremely difficult on some occasions. Blackfish included the false killer whale (*Pseudorca crassidens*), melon-headed whale (*Peponocephala electra*), pygmy killer whale (*Feresa attenuata*), and short-finned pilot whale (*Globicephala macrorhynchus*). Blackfish species were separated from other delphinids and pooled together based on their similar size, physical appearance, and behavior. Small dolphins were those delphinids with smaller body size (<4 m); this group included all *Stenella* spp., bottlenose dolphin (*Tursiops truncatus*), and rough-toothed dolphin (*Steno bredanensis*). All sightings of pantropical spotted dolphin were of the offshore subspecies, *Stenella attenuata attenuata*, and all sightings of spinner dolphin (*Stenella longirostris*) were of the subspecies known as Gray's, *Stenella longirostris longirostris*.

## RESULTS

### Survey Effort

The team visually surveyed 11,033 km of trackline with bottom depth ranging from 114 to 9,874 m. On-effort coverage ranged from 2,200 to 3,300 km (Legs 3 and 4, respectively). The survey trackline coverage was adequate given the high Beaufort sea state (Figure 2). Most of the survey was conducted in Beaufort sea state >4 (66%), and the percentage of visual effort by Beaufort sea state is depicted in Figure 3. Visual effort was continued up to a Beaufort sea state of 6. The survey was delayed due to high winds and Beaufort sea state on several days during Legs 1 and 2. Leg 3 was stopped after 2 days due to Beaufort sea state >7; the ship returned to Guam during the poor weather and resumed the survey after 4 days. Leg 4 was affected by Typhoon Kong-Rey, but effort continued in the western portion of the study area to avoid the typhoon's greatest impacts. All survey effort and sightings in Beaufort sea state ≤6 were included in abundance estimation.

### Sightings

There were 120 "on-effort" cetacean sightings and 33 "off-effort" sightings made in the MISTCS study area, and 13 different species were seen (Table 2, Figures 4–8). There were also three sightings of beaked whales: two unidentified *Mesoplodon* and one ziphiid whale. The sperm whale was the most frequently sighted species ( $n = 23$ ) followed by Bryde's and sei whales ( $n = 18$  and 16, respectively; *Balaenoptera edeni* and *B. borealis*, respectively). The offshore spotted dolphin was the most frequently encountered delphinid species ( $n = 16$ ) followed by the false killer whale and the striped dolphin (*Stenella coeruleoalba*) (both  $n = 10$  [Table 2]). Sighting parameters (e.g., group size, bottom depth) are summarized in Table 3.

Numerous sightings tended to be associated with bathymetric relief, over or near seamounts, ridges, and the Mariana Trench (Figures 4–8). Range of bottom depths for sightings were highly variable, species-dependent, and are summarized in Table 3.

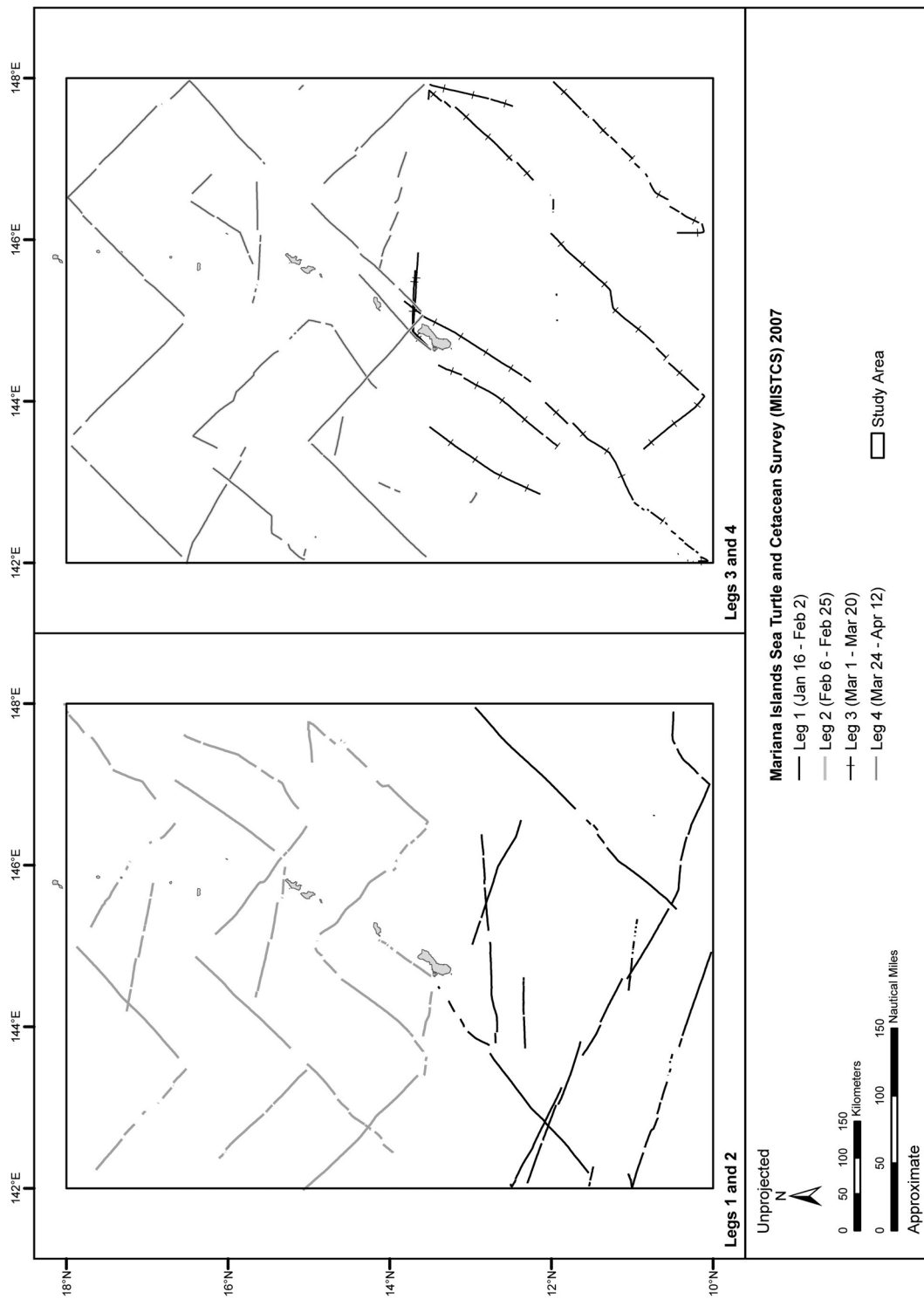


FIGURE 2. Transect lines completed during the 2007 MISTCS survey.



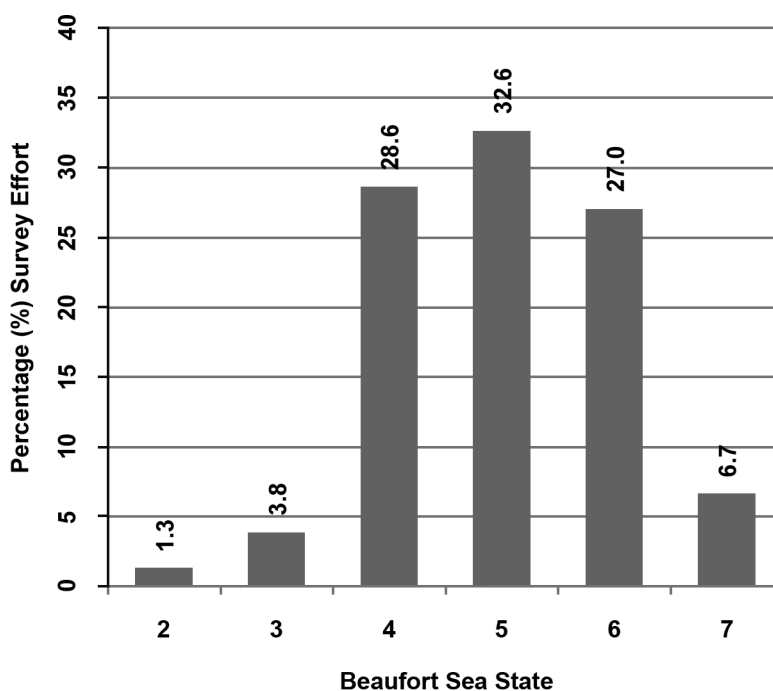


FIGURE 3. Percentage of survey effort stratified by Beaufort sea state during the 2007 MISTCS survey.

The vast majority of all sightings were of single species (96%); however, seven of the 153 sightings were of mixed-species aggregations, of which four involved baleen whales. Of the four sightings, one was confirmed as two Bryde's whales with one sei whale and another was a single Bryde's whale with another whale, which could only be distinguished as Bryde's or sei. On one occasion, false killer whales were seen with a sei whale, and on another, a Bryde's whale with offshore pantropical spotted dolphins. Other mixed sightings included melon-headed whales with offshore pantropical spotted dolphins; 25 sperm whales (including calves) logging near the surface and bottlenose dolphins near the Challenger Deep; and a sighting of three cetacean species comprising short-finned pilot whales, rough-toothed dolphins, and bottlenose dolphins.

On 18 February 2007, an "off-effort" focal study of humpback whales (*Megaptera novaeangliae*) was conducted north of Saipan to search for humpback whales acoustically detected on 17 February. The whales were

acoustically detected (singing) the previous night, calls were localized, and focal studies included photo-identification efforts. Group size was estimated to be eight individuals (Figure 6).

#### Abundance Estimation

Minimum abundance estimates were based on 80 "on-effort" sightings comprising 19 species or groups. Estimates of  $f_i(0)$  for each species or group are listed in Table 4. The most abundant species included the offshore spotted dolphin, striped dolphin, melon-headed whale, and sperm whale. The precision of the abundance estimates was generally poor ( $CV > 0.30$ ) and ranged from 32.8% (*Balaenoptera* spp.) to 102.2% (sei/Bryde's) (Table 5). The component of the variance having the greatest amount of uncertainty in our abundance estimates was encounter rate and accounted for the largest proportion of the CVs for *Balaenoptera* spp. (85.5%) and sei/Bryde's (98.2%). Cluster size accounted for a large

TABLE 2  
Summary of Visual Sightings by Species

Scientific Name	Common Name	On-Effort	Off-Effort	Total
<i>Balaenoptera borealis</i> <sup>a</sup>	Sei whale	11	5	16
<i>Balaenoptera edeni</i>	Bryde's whale	16	2	18
<i>Balaenoptera borealis/edeni</i>		3	0	3
<i>Balaenoptera</i> spp.		8	2	10
<i>Megaptera novaeangliae</i> <sup>a</sup>	Humpback whale	0	1	1
<i>Physeter macrocephalus</i> <sup>a</sup>	Sperm whale	19	4	23
<i>Globicephala macrorhynchus</i>	Short-finned pilot whale	4	1	5
<i>Peponocephala electra</i>	Melon-headed whale	2	0	2
<i>Feresa attenuata</i>	Pygmy killer whale	1	0	1
<i>Peponocephala/Feresa</i>		1	1	2
<i>Pseudorca crassidens</i>	False killer whale	6	4	10
<i>Stenella attenuata</i>	Pantropical spotted dolphin	13	4	17
<i>Stenella coeruleoalba</i>	Striped dolphin	10	0	10
<i>Stenella longirostris</i>	Spinner dolphin	1	0	1
<i>Steno bredanensis</i>	Rough-toothed dolphin	1	1	2
<i>Tursiops truncatus</i>	Bottlenose dolphin	3	1	4
<i>Tursiops/Steno</i>		1	0	1
<i>Mesoplodon</i> spp.	Beaked whale	2	0	2
Ziphiid whale	Beaked whale	1	0	1
Unident. small delphinid		11	3	14
Unident. medium delphinid		1	0	1
Unident. large delphinid		1	0	1
Unident. dolphin		0	1	1
Unident. small whale		1	0	1
Unident. large whale		1	3	4
Unident. whale		1	0	1
Unident. cetacean		1	0	1
Total		120	33	153

Note: All on- and off-effort sightings are included. Multispecies sightings are counted in each appropriate taxon. Genera separated by / indicate uncertainty in identification.

<sup>a</sup> Species protected under the Endangered Species Act.

portion of the variance (>50%) for the sperm whale and several species of small dolphins, indicative of the importance of group size estimation, but was always accompanied by large uncertainty in encounter rate. The proportion of the variance attributed to detection probability was <10.0% for all species or species groups.

#### DISCUSSION

This survey provided important information on the abundance, species richness, and distribution of the oceanic cetacean community occurring in the Guam and CNMI region. Approximately half (47%) of all sightings were of large whale species (sperm and baleen whales). Unfortunately, Beaufort sea state was high

and likely reduced the ability of detecting smaller, more common delphinid species. Failure to visually detect and/or verify species identification of the more cryptic cetaceans (*Kogia* spp., minke, and beaked whales) is not surprising given the high sea state of the survey (more than half of the survey was conducted in Beaufort sea state >4) and the difficulties of sighting these animals even when conditions are optimal (Beaufort sea state <2). However, in spite of the difficult sea conditions, 13 cetacean species were identified and included relatively rare sightings of sei whales.

Several species known or suspected to be within the study area were not seen on our survey; species such as *Kogia* spp. and minke whales are often difficult to detect during high sea states. However, Mobley (2007)

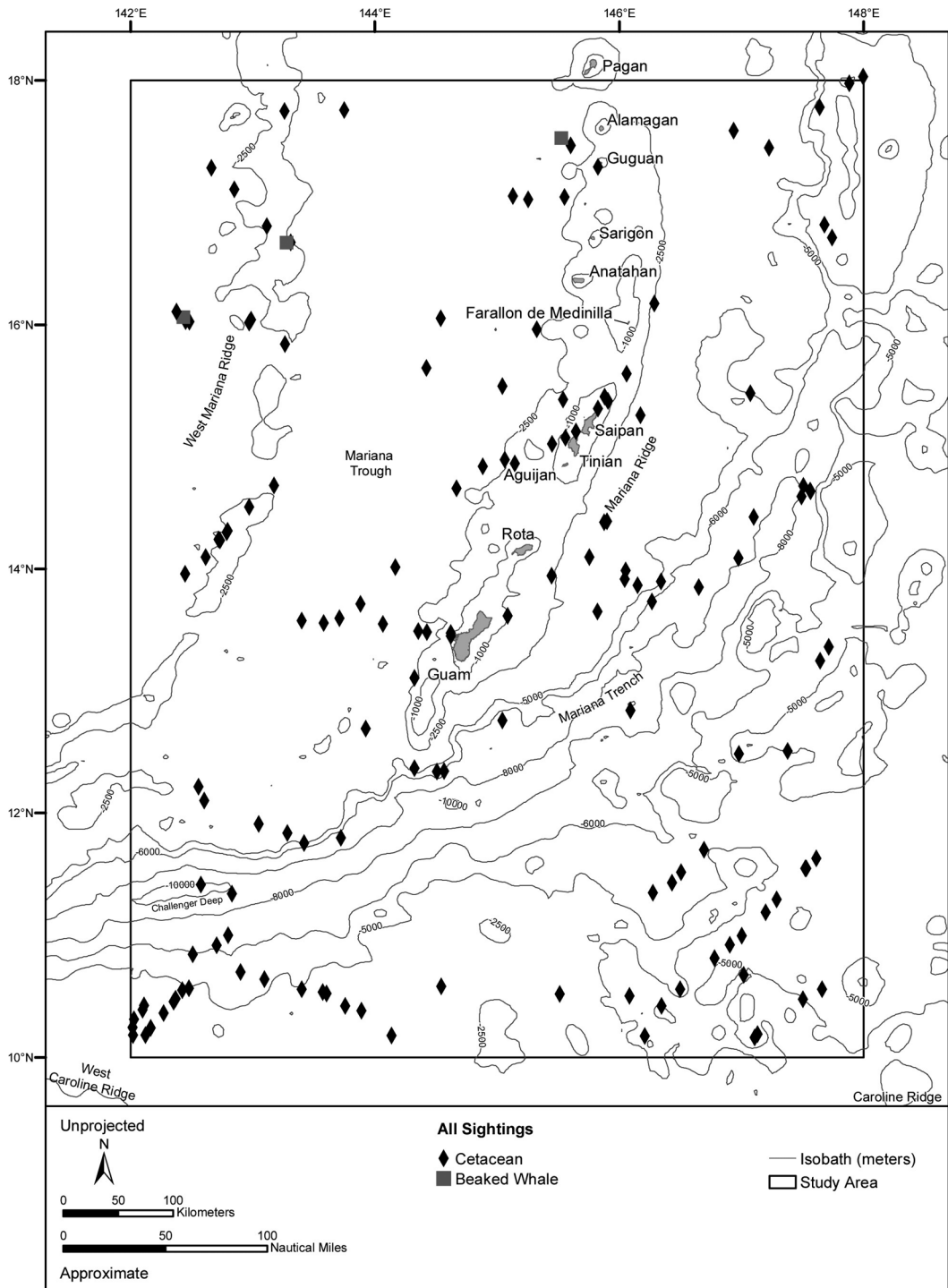


FIGURE 4. Locations of all sightings made during the 2007 MISTCS survey.

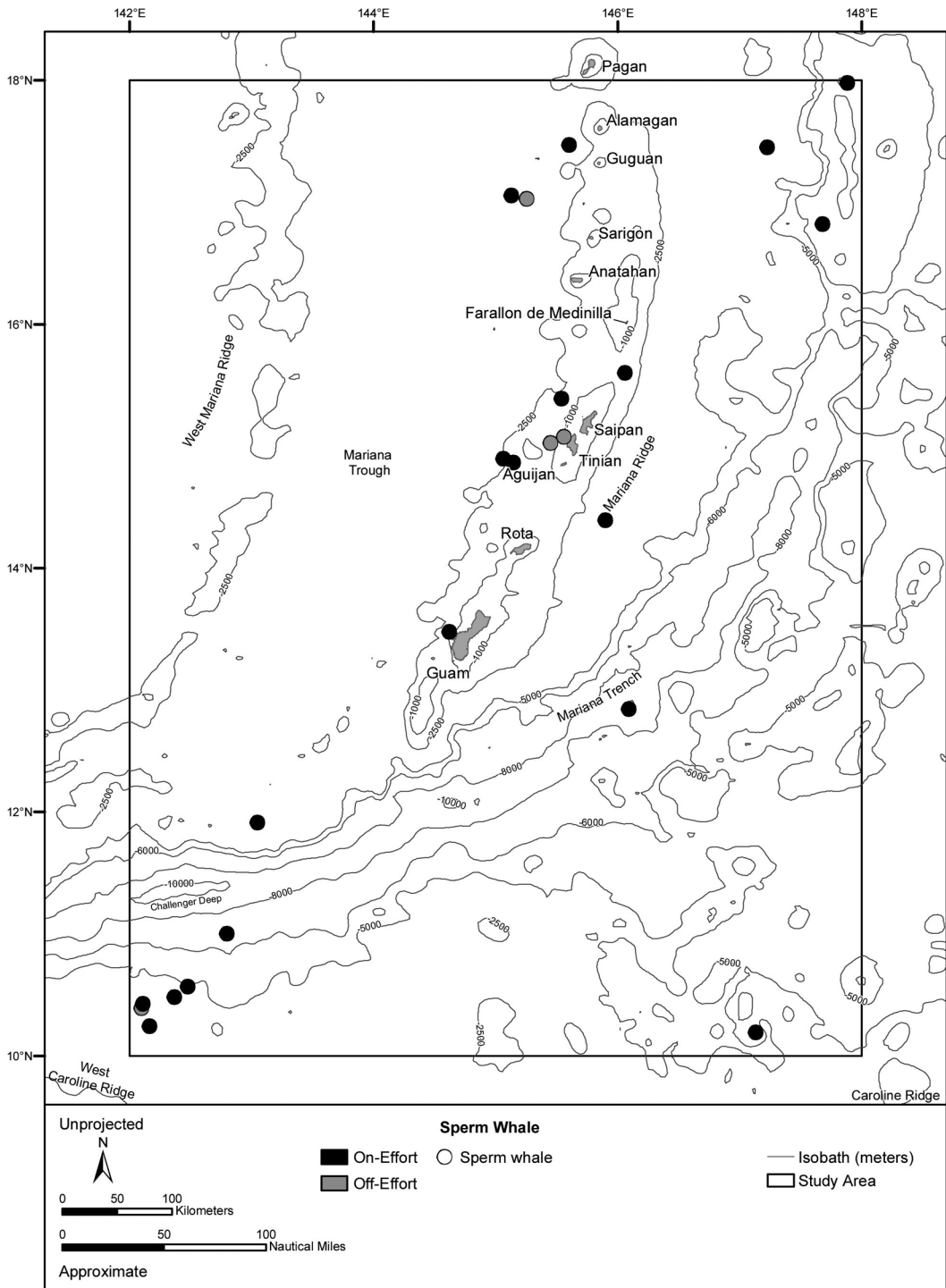


FIGURE 5. Locations of all sperm whale sightings made during the 2007 MISTCS survey.

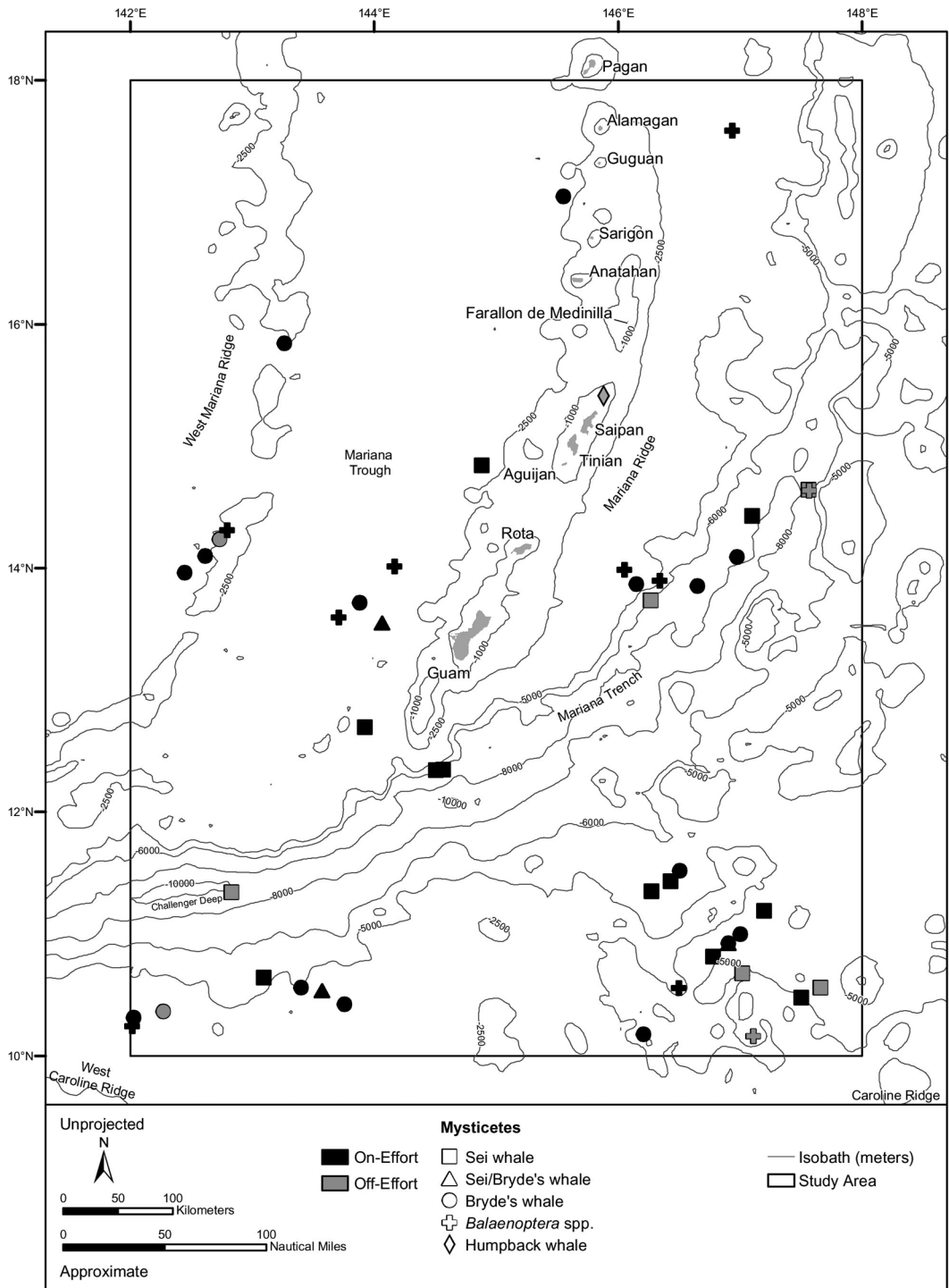


FIGURE 6. Locations of all baleen whale sightings made during the 2007 MISTCS survey.

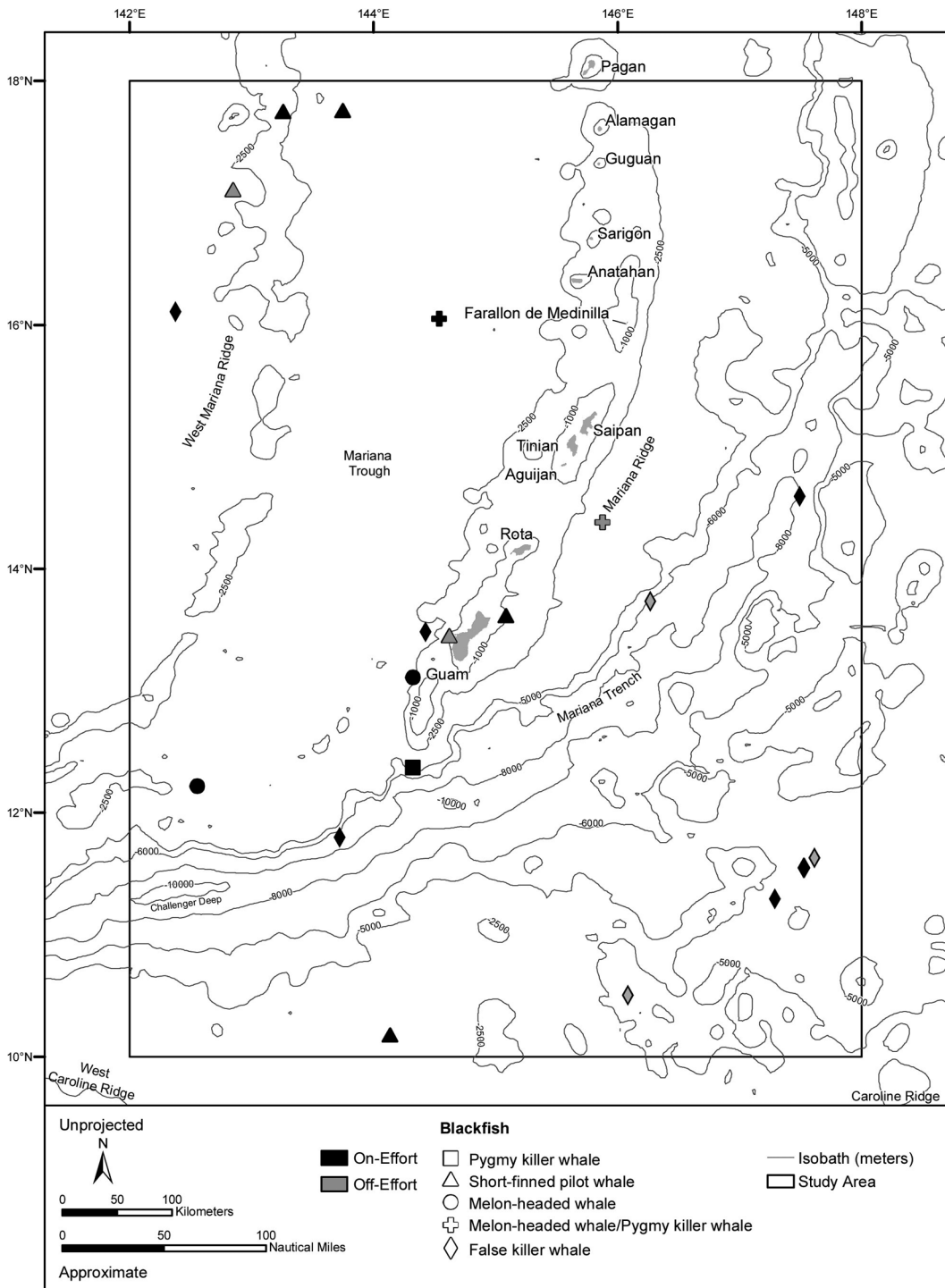


FIGURE 7. Locations of all blackfish sightings made during the 2007 MISTCS survey.



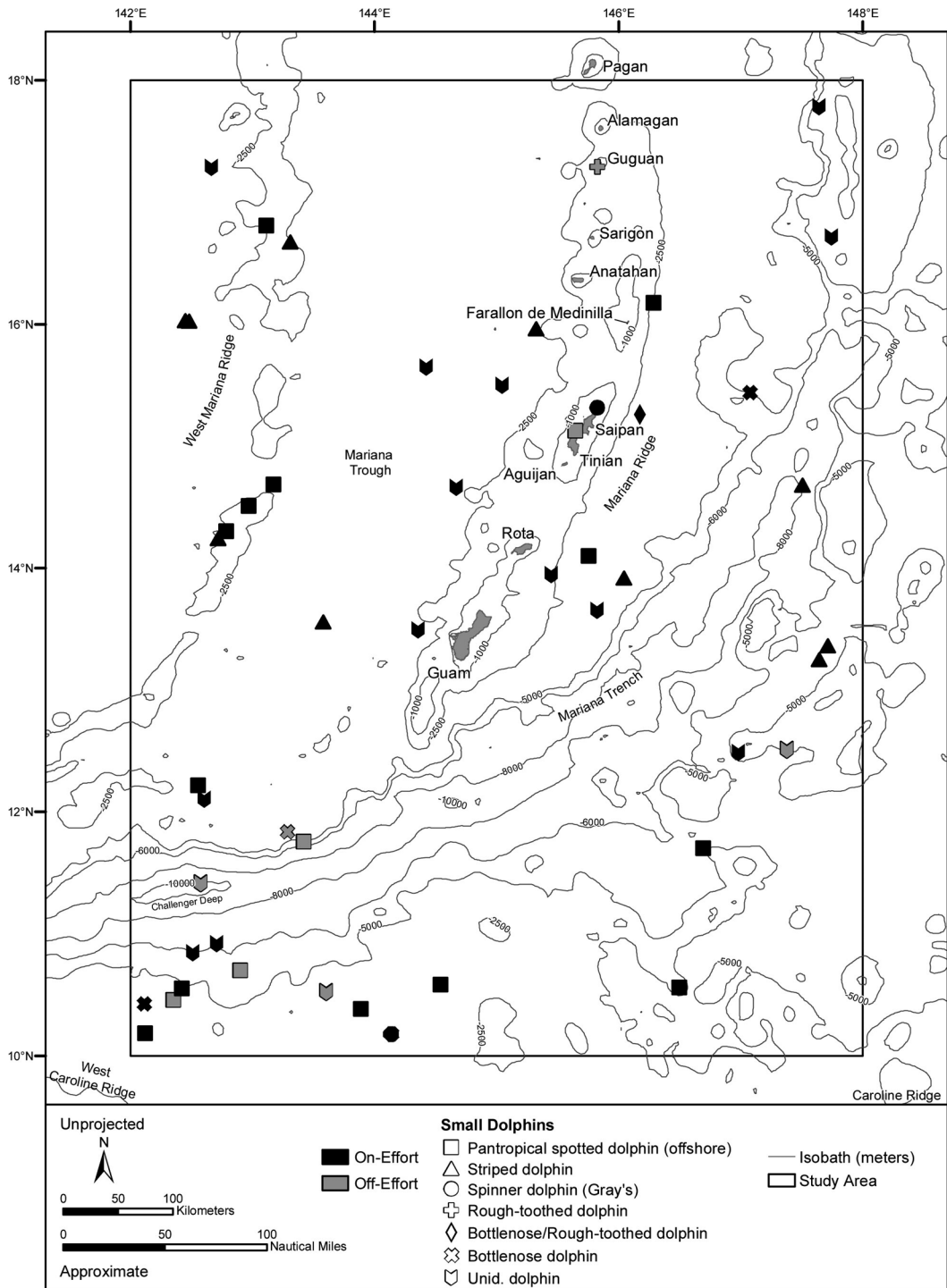


FIGURE 8. Locations of all dolphin sightings made during the 2007 MISTCS survey.

TABLE 3

Summary of Species/Species Groups with Group Size Range, Mean Group Size (SE = Standard Error), Depth Range and Mean Bottom Depth (in m) for All (On- and Off-Effort) Sightings

Species/Species Group	Group Size Range	Mean Group Size (SE)	Depth Range (m)	Mean Depth (m) (SE)
Sperm whale	1–25	5.1 (2.03)	809–9,874	3,925 (440.4)
<i>Balaenoptera</i> spp.				
Sei whale	1–4	1.3 (0.16)	3,164–9,322	5,673 (364.2)
Bryde's whale	1–3	1.4 (0.16)	2,549–7,373	4,563 (329.4)
Sei/Bryde's whale	1	1	3,435–4,885	4,531 (559.6)
Unident. <i>Balaenoptera</i>	1–3	1	2,413–7,543	4,334 (430.2)
Blackfish				
False killer whale	2–26	9.8 (4.2)	3,059–8,058	5,617 (443.3)
Short-finned pilot whale	5–43	17.5 (8.8)	927–4,490	2,949 (705.4)
Melon-headed whale	80–109	94.5 (14.5)	3,224–3,935	3,650 (161.9)
Pygmy killer whale	6	6	4,439	—
Small dolphins				
Offshore spotted dolphin	1–115	64.2 (37.0)	114–5,672	3,720 (354.0)
Striped dolphin	7–44	27.4 (9.4)	2,362–7,570	4,207 (514.5)
Spinner dolphin	98	98	426	—
Rough-toothed dolphin	7–15	9	1,019–4,490	2,755 (1,735.5)
Bottlenose dolphin	3–10	2.2 (1.8)	4,241–5,011	4,554 (162.7)
Bottlenose/rough-toothed dolphin	3	3	3,295	—
Unident. dolphins	1–7	3.7 (1.2)	2,418–9,874	4,965 (536.8)
Other Cetaceans				
<i>Megaptera novaeangliae</i>	8	—	148	—
Beaked whales	1	—	2,122–3,984	3,116.7 (541.3)

Note: Mean group size was calculated from on-effort data used in DISTANCE analyses. Mean group size is not comparable with group size, because off-effort sightings were not included in this calculation. Common names separated by / indicate uncertainty in identification.

TABLE 4

Estimate of  $f_i(0)$  for Each Species Category

Species/Species Group	$n$	Truncation (m)	$f_i(0)$ ( $m^{-1}$ )	CV[ $f_i(0)$ ]	ESW <sup>a</sup> (m)
Sperm whale	11	4,000	0.858E-3	17.6	2,053
<i>Balaenoptera</i> spp.	24	3,500	0.640E-3	20.6	1,562
Sei whale					
Bryde's whale					
Sei/Bryde's whale					
Unident. <i>Balaenoptera</i>					
Blackfish	12	4,000	0.500E-3	16.8	2,000
False killer whale					
Short-finned pilot whale					
Melon-headed whale					
Pygmy killer whale					
Small dolphins	33	2,500	0.708E-3	9.9	1,412
Offshore spotted dolphin					
Striped dolphin					
Spinner dolphin					
Rough-toothed dolphin					
Bottlenose dolphin					
Bottlenose/rough-toothed dolphin					
Unident. dolphins					
Total	80				

Note: Species pooled to estimate  $f_i(0)$  for species categories (e.g., Blackfish) are listed.

<sup>a</sup> ESW, effective half-strip width,  $1/f_i(0)$ .

TABLE 5  
Density and Abundance Estimates and Group Size for Cetaceans in Guam and CNMI Waters

Species	<i>n</i>	S	CV(S)	D	<i>N</i>	CV	CI ( <i>N</i> )
Sperm whale	11	5.1	0.402	1.23	705	0.604	228–2,181
<i>Balaenoptera</i> spp.	24	—	—	0.88	499	0.328	265–941
Sei whale	8	1.3	0.131	0.29	166	0.487	67–416
Bryde's whale	10	1.4	0.117	0.41	233	0.450	99–546
Sei/Bryde's whale	2	1	—	0.056	33	1.002	6–175
Unident. <i>Balaenoptera</i>	4	1	—	0.12	67	0.536	25–181
Blackfish	12	—	—	7.12	4,079	0.938	1,650–10,085
False killer whale	5	9.8	0.429	1.11	637	0.743	164–2,466
Short-finned pilot whale	4	17.5	0.501	1.59	909	0.677	230–3,590
Melon-headed whale	2	94.5	0.153	4.28	2,455	0.702	695–8,677
Pygmy killer whale	1	6	—	0.14	78	0.881	17–353
Small dolphins	33	—	—	33.6	19,269	0.498	7,286–50,959
Offshore spotted dolphin	11	64.2	0.576	22.6	12,981	0.704	3,446–48,890
Striped dolphin	7	27.4	0.344	6.16	3,531	0.540	1,250–9,977
Bottlenose dolphin	3	2.2	0.807	0.21	122	0.992	5.0–2,943
Spinner dolphin	1	98	—	3.14	1,803	0.958	361–9,004
Rough-toothed dolphin	1	9	—	0.29	166	0.892	36–761
Bottlenose/rough-toothed dolphin	1	3	—	0.09	55	0.918	12–262
Unident. delphinid	9	3.7	0.330	1.07	612	0.478	242–1,550

Note: *n* = number of groups sighted; S = mean group size; D = animals/1,000 km<sup>2</sup>; *N* = number of animals; CV = coefficient of variation; CI = confidence interval.

conducted a 5-day aerial survey during August 2007 in our study area south and east of Guam. Cetacean species sighted during that survey included some cryptic species including dwarf/pygmy sperm whales (*Kogia* spp.), a Cuvier's beaked whale (*Ziphius cavirostris*), as well as Bryde's whales, pantropical spotted dolphins, and rough-toothed dolphins. The relatively calm sea states (mean Beaufort sea state = 2.2) during the Mobley (2007) survey allowed the detection of cryptic species in the study area, species that were not seen during our survey due to high sea states.

### Abundance

Despite the low number of sightings, we estimated abundance for several cetacean species and groups. This survey was designed to meet the assumptions of line-transect theory (Buckland et al. 2001). However, the abundance estimates are likely negatively biased because the assumption that all cetacean groups on the transect line are detected (i.e.,  $g(0) = 1$ ) certainly was not met since all animals were not available at the surface, and data were not col-

lected to correct estimates for perception and availability bias (Thomsen et al. 2005).

For the purpose of this paper, we assumed  $g(0) = 1$ , because estimates of  $g(0)$  were not available for the cetaceans in the MISTCS study area or for the survey platform. The probability of detecting an object that is on a transect line,  $g(0)$ , is very important to generating reliable abundance estimates. Departures of  $g(0)$  from 1 can be attributed to either: (a) perception bias (when observers fail to detect an animal on the trackline) or (b) availability bias (from animals being submerged while on the trackline and unable to be detected) (Marsh and Sinclair 1989).

### Species Richness and Distribution

The most interesting finding was the occurrence of sei whales south of 20° N. Sei whales have a worldwide distribution but are found primarily in cold temperate to subpolar latitudes, rather than in the tropics or near the poles (Horwood 1987). Winter distribution of North Pacific sei whales is poorly understood (Horwood 1987), and breeding/calving

grounds have not been located (Smultea et al. 2010). Several sightings of sei whales have been recorded in the Hawaiian Islands (e.g., Barlow 2006, Smultea et al. 2010). During January 1972, Japanese researchers “tagged” two sei whales in the general vicinity of the CNMI (1,515 km northwest of Ritidian Point, Guam) (Masaki 1972, Ohsumi and Masaki 1975); these individuals were later killed a few hundred kilometers south of the western Aleutian Islands during June 1972 (Ohsumi and Masaki 1975, Horwood 1987). As noted by Reeves et al. (1999), reports in the literature from any time before the mid-1970s are suspect because of the frequent failure to distinguish sei from Bryde’s whales, particularly in tropical to warm temperate waters where Bryde’s whales are generally more common than sei whales. Because no identifying characteristics of the whales were provided by the Japanese researchers, it is not possible to confirm whether correct species identification was made. Further complicating the picture is that the sei whale is known for occasional irruptive occurrences in areas followed by disappearances for sometimes decades (Horwood 1987, Schilling et al. 1992, Clapham et al. 1997). Only further survey effort will reveal whether the sei whale is a regular part of the cetacean community of the Marianas region, or whether the observations recorded during our survey were an unusual occurrence.

The value of an acoustic component to shipboard surveys was particularly evident for determining occurrence of two species in the area: minke and humpback whales. The minke whale was not seen, but the species was detected acoustically (“boing” type vocalization) near the Mariana Trench (Department of the Navy 2007, Norris et al. 2007, Oswald et al. 2008). Difficulty in visual detection of the minke whale in the Pacific is not surprising given the rough weather (Rankin and Barlow 2005).

The MISTCS cruise acoustically detected humpback whales; calls were localized, and focal work (including photo-identification) took place 15 km off the northeast coast of Saipan. The winter range of the Western North Pacific stock of humpback whales has

been suggested to extend at least occasionally into the Marianas (e.g., Darling and Mori 1993, Jefferson et al. 2008). Townsend (1935) indicated that many humpbacks were caught off the Marianas, but later attempts to determine occurrence of the species in the area suggested that these whales were no longer common there.

Humpback whales were sighted off Saipan during MISTCS, where tail-slapping, breaching, and chin-slapping were documented. Although no calves were observed, these are social behaviors frequently observed on the breeding grounds of the species (e.g., Clapham et al. 1992, Pack et al. 1998). Humpbacks were also acoustically detected by their song; which is commonly heard on the breeding and feeding grounds. Cow-calf occurrence in the CNMI region has been documented (e.g., Department of the Navy 2005). For example, a cow-calf pair was sighted in late February 1991 off the east coast of Rota (Eldridge 2003). Further research efforts would help determine whether this area is commonly used as a breeding ground, or if these are just wayward individuals.

The MISTCS cruise had several sightings of cow-calf pairs for the sperm whale, sei whale, and Bryde’s whale. A review of the literature (see Department of the Navy 2005) already noted occurrence of cow-calf pairs of several species for this region. For example, Shimada and Miyashita (2001) reported multiple Bryde’s whale cow-calf pairs off Saipan. Eldredge (2003) reported on a 2001 sighting off Guam of a newborn sperm whale calf with the umbilical cord still attached.

#### *Habitat Association*

Associations of cetaceans with steep bathymetric relief including seamounts, undersea ridges, slopes, and deep canyons are often reported. Species exhibiting these associations include sperm whale, short-finned pilot whale, and beaked whales (e.g., Mullin and Fulling 2004, Johnston et al. 2006, Kaschner 2007, Morato et al. 2008). There were numerous cetacean sightings from our survey that occurred over or near steep bathymetric relief including the West Mariana Ridge, the

Mariana Ridge, the steep slopes of the Mariana Trench, and several seamounts (Figure 4). During our survey, we found that sperm whales were seen near steep bathymetric relief; sei and Bryde's whales were seen near underwater ridges and in an area between the Chamorro seamounts and the start of the Caroline Ridge; two of the three sightings of beaked whales occurred over the northern end of the west Mariana Ridge near a few unnamed seamounts; and there were several sightings of delphinids near slopes and seamounts.

Tropical waters are often areas of low productivity due to the reduced nutrient loads associated with strong currents; the Mariana archipelago is an oligotrophic region (Sandwell et al. 2003). The seamount topography in the Marianas is a striking contrast to the surrounding flat, abyssal plain of the Mariana Trough. The effects seamounts can impart on local ocean circulation are complex and poorly understood (Kaschner 2007). However, increased levels of phytoplankton, primary production, pelagic and demersal fish, and top-level predators (e.g., marine mammals, sea turtles, seabirds) around seamounts are correlated with current pattern alterations and Taylor columns (circulation vortices) (e.g., Rogers 1994, Kaschner 2007). These oceanographic features appear to be very important to the species richness of these regions and deserve further study.

#### CONCLUSIONS

Our results demonstrate the need for more research in this area using our study as the basis for future work. These data not only serve the U.S. Navy in their environmental stewardship role and assist in environmental planning but provided baseline data invaluable to other governmental agencies, including the NMFS. NMFS' interest in the Mariana archipelago includes information needs for stock assessment evaluations, marine mammal take permit reviews and other environmental planning purposes, and management of human interactions with cetaceans in the area. The public's interactions with cetaceans in CNMI include dolphin watching and

swimming with dolphins and whales (Pacific Islands Fisheries Science Center 2006), which are currently not monitored.

The abundance estimates, species richness, and distribution data provided from this study are the first attempt to systematically assess the cetacean community in the waters near Guam and CNMI. Although probably of low precision and underestimated, our abundance estimates provide the first actual baseline data for the management of several species that occur in the region. Therefore, the MISTCS data (although only a snapshot) serve as the best scientific data in the region and provide invaluable baseline information for the management of cetaceans in this region.

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buffer, the ship speed was reduced to steerage to minimize animal interactions. Julie Rivers was the Navy technical representative at NAVFAC Pacific in 2007 for this data collection effort.

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